



03-15-02

RCE 1600

PTO/SB/30 (08-00)

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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/403,429
Filing Date	October 20, 1999
First Named Inventor	T. Shimizu
Group Art Unit	1615
Examiner Name	S. Tran
Attorney Docket Number	2535 US0P

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Fee Transmittal, Return Postcard

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2. Miscellaneous

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- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

- The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 500799
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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02 FC:102 168.00 CHBet
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

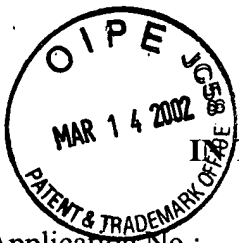
Name (Print/Type)	Elaine M. Ramesh PhD, JD	Registration No. (Attorney/Agent)	Reg. No. 43,032
Signature	Elaine M. Ramesh	Date	3/14/02

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Elaine M. Ramesh PhD, JD	Reg. No. 43,032
Signature		Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/403,429
Filed: 10/20/1999
1st Inventor: T. Shimizu
For: Rapidly Disintegrable Solid Preparation
Atty. Dkt. No. 2535 USOP

Art Unit: 1615
Examiner: S. Tran
Allowed:
Batch:
Paper No.: 24

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Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

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Dear Sir:

In connection with the filing of a Request for Continued Examination, please consider the following amendment and remarks. An authorization to pay the 37 C.F.R. Sec. 1.16(b) fee of \$168.00 for two extra independent claims accompanies this amendment.

In the Claims

Please cancel claims 14-17 without prejudice to the filing of future continuing applications.

Please add new claims 20-29.